On the Hot-Potato Permutation Routing Algorithm of Borodin, Rabani and Schieber

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Abstract

Borodin, Rabani and Schieber [3] presented an $O(n \sqrt{n})$-step algorithm for hot-potato routing of permutations on $n \times n$ meshes. They conjectured that their algorithm completes the routing of a permutation in $O(n)$ steps. In this paper, we present worst-case partial permutations which force their algorithm to use $\Omega(n \sqrt{n})$ routing steps.

Keywords  Packet routing, hot-potato routing, permutation routing, routing algorithm.

1 Introduction

Message routing has been abstracted in several ways. In packet routing it is assumed that a message can be transmitted between two adjacent processors (vertices of the undirected graph) in a single step as a packet. We concentrate on the routing model known as deflection (or hot-potato) routing in which packets continuously move between processors from the time they are injected into the network until the time they are consumed at their destination.

The advantage of deflection routing is obvious. No queueing area is required at the processors. However, the fact that packets always move implies that at any step each processor must transmit the packets it received during the previous step (unless they were destined for it). As a result, several packets might be derouted away from their destination. This makes the analysis extremely difficult.


Ben-Aroya and Schuster [2] considered the general situation where a mesh is loaded with $k$ packets that have to be routed to their destinations in a hot-potato manner. Through clever analysis of their greedy algorithm they showed that routing will terminate within $\text{dist} + 2(k - 1)$ steps where $\text{dist}$ is the initial maximum distance a packet has to travel. Independently, Borodin et al [3] formalized the notion of the deflection sequence, a nice way to charge each deflection of an individual packet to distinct packets travelling on the network. By using their method, they show that routing $k$ packets in a hot-potato manner can be completed within $\text{dist} + 2(k - 1)$ steps for trees, butterflies and multidimensional meshes.

For permutation routing on two-dimensional meshes, the algorithm of Borodin et al [3] completes the routing in $O(n \sqrt{n})$ steps. It was conjectured that the actual performance of the algorithm is $O(n)$. In this paper, we disprove the conjecture of Borodin et al [3] regarding the performance of their algorithm for permutation routing on two-dimensional meshes by demonstrating worst case input permutations that require $\Omega(n \sqrt{n})$ for their routing. The paper is organised as follows: In the next section, we describe the algorithm of Bar-Noy.
et al [1] together with a worst case input permutation which causes the algorithm to take \( \Omega(n^{\sqrt{n}}) \) routing steps\(^1\). In Section 3 we describe the algorithm of Borodin et al [3] while, in Section 4, we present routing patterns which causes the algorithm to perform poorly. We conclude in Section 5.

2 Preliminaries

2.1 The Routing Model

Throughout the paper we consider hot-potato permutation routing on an \( n \times n \) mesh of processors. The processor at the intersection of the \( i \)-th row and the \( j \)-th column is represented by the tuple \((i, j)\), \( 1 \leq i, j \leq n \). Processor \((i, j)\) is connected with processors \((i-1, j)\), \((i+1, j)\), \((i, j-1)\) and \((i, j+1)\), provided they exist, through bidirectional communication links.

If at most \( h_1 \) packets originate from any processor, and, at most \( h_2 \) packets are destined for any processor, then we say that we have an \((h_1, h_2)\) routing problem. When \( h_1 = 1 \) and \( h_2 > 1 \) we have a many-to-one routing problem (many processors send packets to one processor), when \( h_1 > 1 \) and \( h_2 = 1 \) we have a one-to-many routing problem (one processor sends packets to many processors), and when \( h_1 = h_2 = 1 \) we have the (partial) permutation routing problem.

When the routing is performed in a hot-potato manner, the processors operate in synchronous mode and they execute a routing algorithm which iterates over the following 3 steps:

1. Receive the packets that want to enter the processor (if any). These packets were transmitted during the previous step from neighboring processors.

2. Assign to each of the packets received during the previous step a distinct communication link leading to one of the processor's neighbors. The way we assign the communication links to the packets is determined by the routing algorithm.

3. Transmit the packets through the communication links assigned to them.

While it is possible to perform dynamic routing (where packets can be generated when routing is in progress), we concentrate on static routing, i.e., the situation where all packets are available at the beginning of the routing. The fact that we examine only permutations implies that queues of packets are never created. Actually, this is true for any \((h_1, h_2)\) routing pattern in which each processor has

\(^1\)There is nothing new about this worst case routing pattern (it can be considered to be an answer to Exercise 7.4 in [8]). However, it demonstrates the basic idea used in the construction presented in Section 4.

2.2 The Algorithm of Bar-Noy, Schieber, Raghavan and Tamaki

We describe the algorithm of Bar-Noy et al [1] for two reasons. Firstly, the algorithm of Borodin, Rabani and Schieber [3] is a refinement of it and thus, its description becomes easier. Secondly, the worst case permutation for the algorithm of Bar-Noy et al demonstrates the underlying idea in the construction of the routing pattern we use to disprove the conjecture of Borodin et al.

According to the algorithm of Bar-Noy et al [1], each packet moves in its origin row towards the east and when it reaches the east-most column of the mesh it reverses direction and moves towards the west, reversing again its direction of movement when it reaches the west-most column, and so on. During each westbound pass, each packet attempts to enter its destination column, preferably towards the correct direction. Packets moving in their destination column have priority over packets that attempt to turn into the column. Once a packet succeeds to turn into its destination column, it moves from end to end (in a similar way with its row movement) and is guaranteed to reach its destination in less than \( 2n \) steps.

Bar-Noy et al [1] proved that their algorithm terminates after \( O(n^{\sqrt{n}}) \) routing steps. They achieved that by exploiting the fact that it takes exactly 2 packets moving in their destination column to prevent a third packet from turning into that column. Moreover, a packet cannot be prevented from entering its destination column by another packet (which collaborates with a third one) more than once.

We now describe a partial permutation that causes the above algorithm to use \( \Omega(n^{\sqrt{n}}) \) routing steps. This worst case permutation is illustrated in Figure 1. The packets of interest are initially located in a sub-mesh of dimensions \( s \times s \) and are all destined for the same column \( C \) and for rows which are not spanned by the sub-mesh. We refer to the segment of column \( C \) which consists of the processors at the rows spanned by the sub-mesh as segment \( D \). Since we route a permutation, we have to ensure that a sufficient number of destination processors exists in column \( C \). This implies that \( s^2 \leq n - s \).

During the routing, all packets move as a block, henceforth referred to as block \( B \), which looses at most 4s of its packets during each westbound pass over column \( C \). To see that, observe that when the last column of block \( B \) crosses \( C \) during a westbound pass, at most 2s packets are in segment \( D \). All of these packets have succeeded in turning into their destination column, i.e., column \( C \). Also
Figure 1: A routing patterns that causes the algorithm of Bar-Noy, Schieber, Raghavan and Tamaki to use \( \Omega(n^{3/2}) \) routing steps.

notice that during the \( s \) steps that it takes block \( B \) to cross column \( C \), at most \( 2s \) packets (2 per step) can exit segment \( D \).

Since during each westbound pass of block \( B \) over column \( C \), it looses at most \( 4s \) packets, some packets in the block execute at least \( s^2/4s = s/4 \) passes. Each complete pass, i.e., the trip that a packet makes in order to return to its original position after bouncing at both the east and the west boundaries of the array, takes \( 2n \) steps and thus the algorithm terminates after \( \frac{2n}{s} \) routing steps. By choosing the maximum value that satisfies \( s^2 \leq n - s \), we get that \( \Omega(n\sqrt{n}) \) routing steps are necessary.

Note that, if we are interested in the constant factor hidden in the \( \Omega() \) notation, we should have block \( B \) located at the top or the bottom rows of the mesh. In this way, at most 1 packet can exit segment \( D \) in every step.

3 The Algorithm of Borodin, Rabani and Schieber

The Algorithm of Borodin, Rabani and Schieber [3] is a modification of the algorithm of Bar-Noy et al [1]. The modified algorithm allows a packet heading in the wrong direction (either in its origin row or destination column) to reverse direction immediately, provided that this change of direction is not in conflict with the movement of another packet that is headed towards its destination column (if it is moving along a row) or its destination node (if it is moving along its destination column). The modified algorithm also allows for packets to enter their destination column during their eastbound passes as well as their westbound passes.

It is useful to examine how the worst case permutation that forces the algorithm of Bar-Noy et al to perform poorly is routed when the modified algorithm is used. When block \( B \) reaches column \( C \), it looses its first 2 columns. This is because at most \( 2s \) packets can at any time be located in segment \( D \), executing their column movement. The remaining of the block rolls over itself and changes direction by starting moving towards the west. When it reaches the last column of the block, it reverses direction again and follows it. From now on, each packet appears to be part of a closed chain of packets which moves in cycles, each cycle "touching" the destination column \( C \). During the routing, the shape of the block changes. However, at every routing step at most \( 2 \) packets manage to exit segment \( D \). The fact that the chains of packets that cycle around in any row intersecting segment \( D \) always have some packet in a processor of segment \( D \) (executing its row movement) guarantees that at every step at least 1 packet manages to exit segment \( D \) or at least 1 packet of the block starts its column routing in segment \( D \). Since the number of packets initially in block \( B \) is at most \( n - s \), we conclude that the modified algorithm routes the permutation that forced the original algorithm to take \( \Omega(n\sqrt{n}) \) steps in only \( O(n) \) steps.

There is one aspect of the modified algorithm that is not fully specified. According to the algorithm [3, pg. 6], "packets heading in the wrong direction will reverse direction whenever possible." This statement accepts two different interpretations when it is concerned with a packet which is located at its destination column but failed to turn into it. This packet, no matter in which direction it moves during the next step, moves away of its destination column. We can resolve this ambiguity by considering 2 options:

Option 1 Bounce at the destination column. The packet changes direction of movement if it fails to enter its destination column (Figure 2).

Option 2 Overshoot the destination column. The packet maintains the momentum of its movement by continuing moving in the same direction (Figure 3).

We interpret that the intension of Borodin, Rabani and Schieber was to consistently employ one of these two ways in their routing algorithm. It turns out that if the second option is used, the construction of the worst case permutation is more complicated. In the next section, we provide worst case permutations for both options. We refer to the version of the algorithm that uses the first option as the bounce algorithm and to the one that uses the second option as the overshoot algorithm.

4 Permutations that Require \( \Omega(n\sqrt{n}) \) Routing Steps

4.1 A Worst Case Permutation for the Bounce Algorithm

The routing pattern that forces the bounce algorithm to use \( \Omega(n\sqrt{n}) \) routing steps is illustrated in Figure 4(a). The packets of interest are grouped into \( k \) blocks \( B_1, B_2, \ldots, B_k \). Initially, each block has length \( k \) and height \( h_i \). Let \( D_i \) denote the
segment at the intersection of column $C_i$ and the rows spanned by the blocks. The packets of block $B_i$ are all destined to the north or south of segment $D_i$ in column $C_i$, $1 \leq i \leq k$. This implies that $h_1 l_1 \leq n - h_1$.

In the following discussion we assume that the columns of the block are numbered. The first column of a block is the rightmost one, the second column is the one to the left of the first one, and so on. What is important is that this numbering remains the same when the block rolls over itself and changes direction, i.e., the numbering is based on the initial position of the block.

The destinations of the packets in block $B_i$, $1 \leq i \leq k$ are illustrated in Figure 4(b). An arrow pointing upwards (downwards) indicates packet(s) that are destined north (south) of segment $D_i$. All packets in the first column and the top row of block $B_i$ are destined south of $D_i$. All but the topmost packet of the second column and all but the rightmost packet of the bottom row of the block are destined north of $D_i$. The destinations of the remaining packets of block $B_i$ will be specified later.

Let’s observe the movement of block $B_1$ when it reaches column $C_1$. All the packets in the first column of $B_1$ will start their column movement. During the next step, all packets in the second col-

Figure 2: Demonstration of the routing that takes place if the bounce option is used in the algorithm of Borodin et al.

Figure 3: Demonstration of the routing that takes place if the overshoot option is used in the algorithm of Borodin et al.

Figure 4: A worst case permutation for the bounce algorithm.
umn also start their column movement. At the end of the second step, each processor in segment $D_1$ but the ones at its north and south ends contains 2 packets, one moving towards the north and the other towards the south. During the next step, the packets at the top and bottom row of the third column start their column movement while the remaining packets of the third column (i.e., those that belong into the grey area of Figure 4(b)) bounce and start moving towards the west. The same scenario continues till the last column of the block reaches $C_1$. The packets (in the grey area) of the second last column bounced during the previous step and are now at the processors of segment $D_2$.

These packets of the last 2 columns of $B_1$ start changing direction of movement and at most $4(h_1 - 2)$ steps have all started their column movement. To see that, observe that all the packets that had already started their column movement in $D_1$, exit the part of segment $D_1$ spanned by the grey area within $h_1 - 2$ steps. Consider an arbitrary packet out of the remaining $2(h_1 - 2)$ packets that want to turn at column $C_1$ and exit segment $D_1$. Because that packet can only meet with packets that initially are at even distance from it, only $(h_1 - 3)$ can delay it. By employing the analysis of Borodin, Rabani and Schieber we know that the packet will reach its destination after $2m + \text{dist}$ steps, where $m$ is the number of packets that can delay it and $\text{dist}$ is the distance to its destination. In our case, $m = h_1 - 3$ and assuming that the packets destination is the first processor outside $D_1$ (north or south of it), $\text{dist} \leq h_1 - 2$. We conclude that all packets of interest exit segment $D_1$ after at most $4(h_1 - 2)$ steps.

The remaining packets of block $B_1$ move towards the west. They form a smaller block of length $l_2 = h_1 - 4$ (since the original block lost its first 2 and its last 2 columns) and height $h_2 = h_1 - 2$ (since the original block lost its top and bottom rows). The new smaller block can change direction of movement only when its first column meets the last column of block $B_k$.

The behaviour of the remaining blocks is similar. The only exception is block $B_k$ which looses only its first 2 columns. As a result, the movement of the blocks/packets resembles that of a belt that cycles around while at the same time it gets shorter and thinner in a very regular way. Note that in order to allow the blocks to roll over themselves without creating “empty” columns that separate them, the length of each block must be even.

Now it is easy to specify the destinations of the remaining packets (those in the grey area) of block $B_i$, $1 \leq i \leq k - 1$. For simplicity assume that the packets in the last column of $B_i$ are destined to the north of $D_i$ while those in the second last column are destined to the south of $D_i$. The destination of the remaining packets in the $(l_i - 4) \times (h_i - 2)$ subblock are set according to the pattern described in Figure 4(b). For $B_k$, we also set the destination of the packets in the grey area according to Figure 4(b). However, the last 2 columns of its grey area are not treated in a special way since these columns are present in the new smaller block after each pass.

Let $l_j$ be the length and $h_j$ be the height of block $B_i$, $1 \leq i \leq k - 1$, after $j - 1$ passes over the block’s destination column. Assuming that the packet destination were set as above, we have:

$$l_j = l_{j-1} - 4 \quad \text{and} \quad h_j = h_{j-1} - 2.$$ 

The length of block $B_k$ decreases by 2 columns instead of 4.

Let a complete pass start when the first column of block $B_1$ reaches column $C_1$ (and finish just before the start of the next pass). The $j$-th pass is completed after at least $kl_j$ routing steps while during the last pass the height of each block is equal to 2 (or the length of each block but $B_k$ is equal to 4). By setting $l_1 = \sqrt{n}$, $k = \sqrt{n} - 1$ (since in Figure 4(a) the blocks do not initially overlap with the destination columns) and $h_1 = \sqrt{n} / 4$ (in order to guarantee that the packets in the last two columns of block $B_{k-1}$ have started their column movement before the packets of block $B_1$ arrive at column $C_{k-1}$), we get that after $\sqrt{n} / 8$ passes the packets in all blocks have started their column movement.

This implies that the row routing finishes after

$$t \geq \sum_{j = 1}^{\sqrt{n} / 8} kl_j = k(l_1 + l_2 + \cdots + l_{\sqrt{n} / 8}) = (\sqrt{n} - 1)(\sqrt{n} + \sqrt{n} - 4 + \cdots + \sqrt{n}/2) = \Omega(n^{3/2})$$

routing steps. Notice that the above choices for $l_1$ and $h_1$ satisfy $l_1 h_1 \leq n - h_1$ as required. A final comment regarding the position of the blocks. Even though the number of packets in each block that are destined north of its corresponding $D$ segment is not equal to the number of packets destined south of it, the number of packets in each block $(n/4)$ is too small to cause any problem. For any initial placement of the blocks spanning the middle rows of the mesh, it is easy to assign destinations to the packets.

4.2 A Worst Case Permutation for the Overshoot Algorithm

Firstly observe that when the overshoot algorithm is used to route the permutation described in the previous section, the belt of blocks appears to lose its original shape after a few passes. This shape deterioration is not that dramatic (the blocks of
packets still look like a belt but the boundaries of the blocks are not so clear since adjacent blocks now overlap and the block shape is not a rectangle anymore) but is enough to make unclear how to use the same pattern to prove a lower bound on the behaviour of the overshoot algorithm, although it is unlikely that the overshoot algorithm routes this pattern in $O(n \sqrt{m})$ routing steps. So, we describe a different, more complicated pattern that forces the overshoot algorithm to use $\Omega(n \sqrt{m})$ routing steps.

The basic structure of the new pattern consists again from a sequence of blocks, where the packets in each block are destined for the same destination column. To enable the reader to understand the construction, we first present the structure of a block and its routing behaviour in two different situations. The block structure is described in Figure 5. All packets of the block are destined for the same column $C$, north or south of the $D$ segment (the processors at the intersection of $C$ and the rows spanned by the block). The length of the block is $l$ and its height is $h$, where $l > h + 1$. By comparing the block structure of Figure 5 with that of Figure 4(b), we observe that they only differ in the destination of 2 special packets, i.e., the $h$-th last packet of the top row is destined north of $D$ and the $h$-th last packet of the bottom row is destined south of $D$.

To understand the reason for this modification, observe the routing that takes place when the pattern of Figure 6 is routed by the overshoot algorithm. When the first column of block $B$ reaches column $C$, the packets in it start their column movement. At the next step, the packets of the second column do so. When the third column arrives at $C$, no packet of the grey area succeeds to turn into the column. These packets overshoot column $C$ and arrive at column $C + 1$ where they change direction of movement. All the remaining columns of the block behave in a similar manner and thus the grey area of the block rolls over itself and moves towards the west where it will roll over again when it meets with the last column of the block of packets that are destined east of column $C$. However, notice that the new smallest block is not a rectangle anymore. More specifically, it has lost the top and the bottom packets of its last column. This is because when these packets return to column $C$ from column $C + 1$, they succeed in starting their column routing. The top packet of the last column of the grey area enters the column and moves towards the south while the bottom packet of the last column moves towards the north. This small disturbance in the shape of the “rectangle” has a significant effect when a block that follows $B$ tries to roll itself behind $B$. The gaps that were created in $B$’s rectangle are filled with packets from the block that follows $B$.

The block structure of Figure 4(b) was modified to that of Figure 5 in order to maintain the rectangular shape of the block when it rolls over itself after overshooting its destination column. When the two special packets that appear to be at odds with the other packets in their row enter the destination column, they move in the wrong direction. These 2 packets traverse the entire segment $D$ moving in the wrong direction. They change direction of movement when they reach the processors at the endpoints of segment $D$ and move in the correct direction again. But at the next step, we have at the second top (bottom) row of segment $D$ two packets that have started their column movement and the top (bottom) packet of the last column of the grey area. The top and the bottom packets of the grey area will fail to start their column movement and thus, the shape of the new block remains a rectangle. Note how crucial in the construction is the fact that the 2 special packets are the $h$-th last packets in their corresponding rows. The described construction places an additional restriction to the height $h$ of the block. $h$ must be even. If $h$ was
odd, the 2 special packets would have met in the middle of segment $D$, and they would have both changed direction of movement. The fact that after one pass over its destination column the new block has 2 rows less than the original block, guarantees that we are able to repeat the construction for the packets in the grey area (which form the new block).

Consider now the configuration described in Figure 7. Each block is configured as in Figure 5. The packets of block $B_1$ and $B_2$ are destined for $C_1$ and $C_2$, respectively, all south or north of their corresponding $D$ segment. Let the blocks move eastwards and consider the time where the first column of $B_1$ arrives at $C_1$. After $l-2$ steps following that time, block $B_1$ has lost 2 columns and 2 rows, is facing towards the west, and its last column is at $C_1$ ready to move towards the east. At that step, the first column of $B_2$ is to the left of $C_1$. As a result, this means that after 4 steps, block $B_1$ starts rolling over itself behind $B_2$. It rolls over at a column that is exactly $l-1$ positions to the west of column $C_2$ (without counting $C_2$). Now the 2 blocks which have formed a belt start making their passes over the 2 columns. With every pass the belt gets shorter (and thinner) since its span reduces by 4 columns (the two columns at the front of the block and the four columns its back). We also assume that $l > 6h$. This allows enough time for the packets that execute their column movement in $C_1\{C_2\}$ to exit the $D_1\{D_2\}$ segment before block $B_1\{B_2\}$ arrives for its new pass.

Now we are ready to describe the worst case permutation for the overshoot algorithm which is shown in Figure 8. Blocks $B_1,\cdots,B_{\frac{k}{2}}$ have length $L_1$ while blocks $B_{\frac{k}{2}+1},\cdots,B_k$ have length $l_1$, where $L_1 > 2l_1$ and $k = l_1 + 1$. All blocks have height $h_1$ and, as previously, the packets of block $B_1$ are destined for $C_i$. Each block is configured as in Figure 5.

When block $B_1$ reaches $C_1$, it looses 2 columns and 2 rows, rolls over itself and moves towards the west where it will roll over itself again behind $B_k$. The movement of blocks $B_2,\cdots,B_{k-2}$ is similar.

Figure 7: A routing pattern which consists of 2 blocks (as described in Figure 5) and is to be routed by the overshoot algorithm.

Let’s examine the behaviour of blocks $B_{k-1}$ and $B_k$. Consider the first time where the first column of $B_{k-1}$ is at $C_{k-1}$. Since the configuration consisting of blocks $B_{k-1}$ and $B_k$ is identical to that of Figure 7, after $l_1 + 1$ steps the 2 blocks have formed a belt that spans up to column $C_{k-1}$, which is $C_2$ since $k = l_1 + 1$. At that time, block $B_1$ arrives at $C_1$ but it will not interfere with the belt of $B_{k-1}$ and $B_k$ since it never goes east of $C_3$. So, for the next $L_1$ steps that columns of $B_1$ arrive at $C_1$, the belt of blocks $B_{k-1}$ and $B_k$ makes a full pass over columns $C_{k-1}$ and $C_{k'}$. (This was the reason for $L_1 > 2l_1$.) After its first pass, it spans up to column $C_{k'}$. Now, $B_2$ starts arriving in $C_2$ but, again, it doesn’t interfere with the belt of $B_{k-1}$ and $B_k$ since it never goes east of $C_3$. This scenario continues and by the step that block $B_{\frac{k}{2}+1}$ arrives at $C_{\frac{k}{2}+1}$, the belt of $B_{k-1}$ and $B_k$ has been consumed (i.e., all the packets in these 2 blocks have started their column movement).

Consider now how the initial belt have shrunk. After 1 full pass, the belt (which consists of all blocks) lost 2 whole blocks (i.e., $B_{k-1}$ and $B_k$) and each of the remaining blocks lost 2 columns and 2 rows. Otherwise, the configuration after one pass appears to be identical to the one we started of. We will not go into the tedious calculations of the exact values for $l_1$, $k$, $L_1$ and $h_1$. Analysis similar to that of Section 4.1 shows that when $l_1$, $k$, $L_1$ and $h_1$ are $\Omega(\sqrt{n})$, the constructed permutation requires $\Omega(n\sqrt{n})$ steps for its routing by the overshoot algorithm. Of course, the selected values for $l_1$, $k$, $L_1$ and $h_1$ have to satisfy all the relevant restrictions mentioned in this section.

5 Conclusion

Even though there have been some encouraging results regarding hot-potato routing of permutations on two-dimensional meshes, a simple greedy-like algorithm with provably good performance, say $O(n)$, is not yet known. There are several simple and greedy-like algorithms developed over the years but the efforts to match their good experimental behaviour with an exact analysis have failed. In this paper which is a progress report of our work on packet routing, we showed that the algorithm of
Borodin, Rabani and Schieber [3], which appears to belong in the class of simple and greedy-like algorithms, actually needs $\Omega(n\sqrt{n})$ steps for the routing of some permutations. Currently we are trying to establish nontrivial upper and lower bounds for the permutation routing algorithm of Ben-Aroya and Schuster [2].

References


