

Excerpt from University of Sydney (Amendment Act) Rule 1999 (as amended)

Approved by: Senate on 6 October 1998

Date of effect: 6 August 1999

Latest amendment approved by: Senate on 21 September 2009

Date of effect: 11 June 2010 (following gazettal of changes to the By-law)

Division 3: Higher doctorates

65. Applicability of this Division

This Division applies to the following degrees:

- 65.1 Doctor of Science in Agriculture
- 65.2 Doctor of Agricultural Economics
- 65.3 Doctor of Science in Architecture
- 65.4 Doctor of Letters
- 65.5 Doctor of Dental Science
- 65.6 Doctor of Science in Economics
- 65.7 Doctor of Letters in Education
- 65.8 Doctor of Engineering
- 65.9 Doctor of Laws
- 65.10 Doctor of Medicine
- 65.11 Doctor of Music
- 65.12 Doctor of Science
- 65.13 Doctor of Letters in Social Work
- 65.14 Doctor of Veterinary Science.

66. Published works

66.1 The Academic Board may, on the recommendation of the faculty or board of studies concerned, award the appropriate degree of doctor for published work which, in the opinion of the examiners, has been generally recognised by scholars in the field concerned as a distinguished contribution to knowledge or creative achievement.

66.2 Without limiting the generality of Rule 66.1, the published work may be regarded as a distinguished contribution to knowledge if:

- 66.2.1 it represents a significant advance in knowledge in its chosen field; or
- 66.2.2 it has given rise to or is a major part of a significant debate in scholarly books and journals among recognised scholars in its chosen field; or
- 66.2.3 it has directly given rise to significant changes in the direction of research or of practice of a newer generation of recognised scholars in its chosen field.

67. Application procedure

67.1 An applicant for admission to candidature must satisfy the eligibility for admission criteria in Rules 68, 69 and 70 and be considered under the preliminary assessment procedure specified in Rule 72 before being permitted to enrol as a candidate for the degree.

67.2 An applicant should submit to the Registrar:

67.2.1 an application which states the degree being applied for; gives details of academic

qualifications held; and gives details of association with the University;

67.2.2 a list of the published work which it is proposed to submit for examination; and

67.2.3 a description of the theme of the published work and, where there is a large number of publications whose dates range over a period of time and which contain some range of subject matter, a statement of how these are related to one another and to the theme.

68. Eligibility for admission

68.1 An applicant for admission to candidature for the degree of doctor shall either have qualified for the award of a degree of the University of Sydney and have met the specific requirements of Rule 68.2, or shall satisfy the requirements of Rule 69.

68.2.1 An applicant for the degree of Doctor of Dental Science must have qualified for the award of the degree of Bachelor of Dental Surgery.

68.2.2 An applicant for the degree of Doctor of Medicine must have qualified for the award of the degree of Bachelor of Medicine.

68.2.3 An applicant for the degree of Doctor of Music must have qualified for the award of the degree of Bachelor of Music, or for the award of the degree of Bachelor of Arts including a 3 year sequence of courses in Music.

68.2.4 An applicant for the degree of Doctor of Veterinary Science must have qualified for the award of the degree of Bachelor of Veterinary Science.

69. Awards to non-graduates

69.1 The Academic Board, on the recommendation of the faculty or board of studies concerned, may admit as a candidate for the degree of doctor an applicant, not being a graduate of the University of Sydney, who:

69.1.1 is either a graduate of another university or institution or is a person who is accepted by that faculty or board of studies and by the Academic Board as having standing equivalent to that required of a graduate of the University; and

69.1.2 in the case of an applicant for one of the degrees of doctor referred to in Rule

68.2, is accepted by the faculty or board of studies concerned as having standing equivalent to that required in that Rule; and 69.1.3 has been a full-time member of the academic staff of the University for at least 3 years, or has had such a similar significant involvement with the teaching and research of the University as the Academic Board, on the recommendation of the faculty or board of studies concerned, considers equivalent. 69.2 A candidate admitted under Rule 68 must be a graduate of at least 5 years' standing before the degree of doctor can be awarded. 69.3 A candidate admitted under Rule 69.1 must have held the qualification by virtue of which he or she was admitted for at least 5 years before the degree of doctor can be awarded.

70. Nature of work to be submitted

70.1 The publications submitted for examination shall be a record of original research undertaken by the candidate, who shall state the sources from which the information was derived, the extent to which the work of others has been made use of, and the portion of the work claimed as original. 70.2 The publications submitted for the degree of Doctor of Letters shall include at least one substantial work. 70.3 A major musical work or works of the candidate's own composition may be submitted for the degree of Doctor of Music. 70.4 If the publications submitted, whether published in the candidate's sole name or under conjoint authorship, record work carried out conjointly, the candidate shall state the extent to which the candidate was responsible for the initiation, conduct or direction of such conjoint research, however published. 70.5 Where the principal publications, as distinct from any supporting papers, incorporate work previously submitted for a degree in this or in any other university, the candidate shall clearly indicate which portion of the publications was so submitted.

71. Preliminary assessment

71.1 The dean of the faculty or chairperson of the board of studies concerned shall appoint, in respect of each application made, a committee normally comprising 5 persons being:
71.1.1 the dean of the faculty or chairperson of the board of studies concerned;
71.1.2 the head of the department and the professor most closely associated with the field of the applicant's work; and
71.1.3 other persons appointed by the dean or chairperson.

71.2 The committee shall consider whether the applicant is eligible for admission to candidature, whether the published work is in a field appropriate for the nominated degree and which the faculty is competent to examine at that level and, if so, shall make an assessment of the prima facie worthiness for examination of the published work in terms of Rule 66 of these resolutions.

71.3 The committee, if it finds that a prima facie case exists, shall recommend to the faculty or board of studies concerned that it recommend to the Academic Board:

71.3.1 the admission to candidature if not qualified under Rule 68; and

71.3.2 the appointment of at least three examiners of whom at least 2 shall be external examiners.

71.4 The Academic Board may appoint further examiners in addition to those recommended by the faculty or board of studies.

72. Enrolment

After the Academic Board has admitted the applicant, if necessary, and appointed examiners, the applicant shall submit to the Registrar five copies of the published work and of the description of the theme of the published work and shall enrol as a candidate at the next enrolment period.

73. Examination

73.1 Each examiner shall make a separate report as to whether the published work meets the requirements as specified in Rule 66 of being generally recognised by scholars in the field concerned as a distinguished contribution to knowledge.

73.2 The reports of the examiners shall be considered by the committee appointed under Rule 71.1 and a recommendation made to the faculty or board of studies concerned which shall take note of the terms of Rule 66.

73.3 The faculty or board of studies may:

73.3.1 recommend to the Academic Board that the degree be awarded;

73.3.2 recommend to the Academic Board that the degree not be awarded;

73.3.3 recommend to the Academic Board the appointment of a further examiner or examiners.

73.4 In making its report to the Academic Board under Rule 73, the faculty or board of studies shall transmit the names of the examiners and the substance of their reports.

73.5 The Academic Board shall determine the result of the candidature.

73.6 On the award of the degree the Registrar shall lodge one bound copy of the published work with the University Librarian.